Growth, Wealth, Success

U.Y. Fincorp Limited

(Formerly Known as Golden Goenka Fincorp Limited)

Ref No. UYFL/042022/SE/08

Date: 22.04.2022

To,
BSE Limited
Phiroze Jeejeebhoy Tower,
Dalal Street
Mumbai – 400001
Company Code: 530579

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata – 700001
Company Code: 10017059

Dear Sir,

Sub: Compliance under Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In terms of the Captioned Subject, we are enclosing herewith Compliance Certificate under Clause 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 01.10.2021 to 31.03.2022.

Kindly acknowledge the above.

Thanking you

Yours Faithfully,
For U. Y. Fincorp Limited

U.Y. Fincorp Limited

Amrita Mohta Kothari
(Company Secretary)

[Encl: As Above]

U.Y. Fincorp Limited
Registered Office: 16, Strand Road, 9th Floor Room No. 908B,
Kolkata - 700 001, West Bengal, India, + 91 33 4603 2315

Corporate Office: 7th Floor, A-Wing, Vaman Techno Centre,
Marol Makwana Rd, off Andheri-Kurla Rd, Andheri (E),
Mumbai - 400 059, Maharashtra, India.
+ 91 22 42 300 800 +91 22 42 300 844
contact@uyfincorp.com, www.uyfincorp.com
CIN: L65993WB1993PLC060377

BA & ASSOCIATES

(Company Secretaries)

16/1A, Abdul Hamid Street, (Formerly British India Street)
6th Floor, Room No. 6E, Beside Dewars Garage
Kolkata- 700069, West Bengal
Mob: 96810 21295, Office: 033 4601-8006

Certificate underRegulation40(9) of the SEBI (Listing Obligations & Disclosure Requirements), Regulations, 2015

For the Period Ended 31st March, 2022

We have examined all ShareTransfer Deeds, Memorandum of Transfers, Registers, files and other documents relating toM/s. **U.Y. Fincorp Limited** (Previously Golden Goenka Fincorp Limited)(**"Company"**) maintained by Niche Technologies Private Limited, at 3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata- 700017 the Registrar and Share Transfer Agent of the Company for the purpose of issuing a Certificate pursuant to Regulation40 (9) of the SEBI (Listing Obligations & Disclosure Requirements), Regulations, 2015 and Uniform Listing Agreement entered into by **M/s. U.Y. Fincorp Limited** with the stock exchange sand based on the information provided by the Company,hereby certify that during the period ended on 31st March, 2022:

A) The Company has received Nil physical request for transfer of shares, Nil request for transmission of shares, Nil request for rematerialization of Shares, Nil request for Consolidation/Split/Duplicate/replacement of Share Certificate(s);

B) There was no request received by the Company for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period.

BA & ASSOCIATES KOLKATA COMPANY SECRETARIES

(BIJAY AGARWAL)
Company Secretary
C. P. No.13549
Membership No.10323 (F)
UDIN: F010323D000186686

Place: Kolkata
Date: 22/04/2022